

08-23-00

A
J0849 U.S. PTO
09/643207
08/21/00

08/21/00
J0849 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2729.300
First Named Inventor or Application Identifier	
PAUL N. WEINBERG, et al.	
Express Mail Label No.	EL582470245US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages
3. ☒ Drawing(s) (35 USC 113) Total Sheets
4. ☒ Patent Application Bibliographic
Data Sheet Total Sheets

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

5. ☐ Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Unexecuted for information purposes
 - c. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 6 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5c is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 5c, is considered as being
part of the disclosure of the accompanying application and is hereby
incorporated by reference therein. The incorporation can only be
relied upon when a portion has been inadvertently omitted from the
submitted application parts.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Copy of Small Entity Statement ☒ Statement filed in
prior application Status still proper and
desired
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. ____/____
Prior application information: Examiner _____		Group/Art Unit: _____	

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Correspondence address below	
05514 (Insert Customer No. or Attach bar code label here)		
NAME _____		
Address _____		
City _____	State _____	Zip Code _____
Country _____	Telephone _____	Fax _____



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		24 -20 =	4	X \$ 18.00 =	\$72.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		3 -3 =	0	X \$ 78.00 =	- 0 -
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$260.00 =	None
				BASIC FEE (37 CFR 1.16(a))	\$690.00
Total of above Calculations =					\$762.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					\$381.00
TOTAL =					\$381.00

20. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☒ A small entity statement was filed in the prior provisional application and such status is still proper and desired (copy enclosed).
- c. ☐ Is no longer claimed.

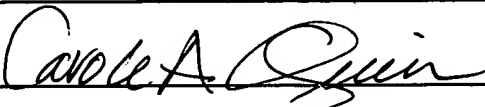
21. ☒ A check in the amount of \$381.00 to cover the filing fee is enclosed.

22. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

23. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED



NAME	Carole A. Quinn, Reg. No. 39,000
SIGNATURE	
DATE	August 21, 2000

CA_MAIN 7820 v 1

"Express Mail" mailing label number EL58247024503

Date of Deposit August 21, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.


(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.8(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
9407-0F861US0

Applicant, Patentee, or Identifier: David E. Brookler, et al

Application or Patent No.: _____

Filed/Issued: August, 1999

Title: Method and System for Efficient Structuring, Storing and Searching of Data in an RDBMS

I hereby state that I am

- ☒ the owner of the small business concern identified below.
☐ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN A2i, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 1925 Century Park East, Suite 255,
Los Angeles, California 90067-2703

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.8(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.8(d), or a nonprofit organization under 37 CFR 1.8(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Paul N. Weinberg

TITLE OF PERSON IF OTHER THAN OWNER Vice President

ADDRESS OF PERSON SIGNING 2160 Century Park East, #1905, LA., CA, 90067

SIGNATURE 

DATE 8/18/99